



EXPRESS MAIL NO.: EL755730635US

PTO/SB/01A (10-00).

Approved for use through 10/31/2002. OMB 0651-0032. U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	COMPOSITIONS AND METHODS FOR THE THERAPY AND DIAGNOSIS OF COLON CANCER
--------------------	---

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or  
☒ Application No. 10/046,935, filed on January 15, 2002,  
☐ as amended on \_\_\_\_\_ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

### FULL NAME OF INVENTOR(S)

Inventor one: Yuqiu Jiang Citizen of: Peoples Republic of China

Signature: [Signature] Date: 2/25/02

Inventor two: Susan L. Harlocker Citizen of: US

Signature: [Signature] Date: 3/1/02

Inventor three: Heather Secrist Citizen of: US

Signature: [Signature] Date: 3/4/02

Inventor four: Aijun Wang Citizen of: Peoples Republic of China

Signature: [Signature] Date: 2/25/02

X Additional inventors are being named on one additional form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.  
Docket No. 210121.527C1 JOHNOV257255\_1.DOC



**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION  
USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

**ADDITIONAL INVENTOR(S)  
Supplemental Sheet**

Page 2 of 2

**FULL NAME OF INVENTOR(S)**

Inventor five	<u>John A. Stolk</u>	Citizen of:	<u>US and Canada</u>
Signature:	<u><i>John A. Stolk</i></u>	Date:	<u>2/26/02</u>
Inventor six	<u></u>	Citizen of:	<u></u>
Signature:	<u></u>	Date:	<u></u>
Inventor seven	<u></u>	Citizen of:	<u></u>
Signature:	<u></u>	Date:	<u></u>
Inventor eight	<u></u>	Citizen of:	<u></u>
Signature:	<u></u>	Date:	<u></u>

☐ Additional inventors are being named on \_\_\_\_\_ additional form(s) attached hereto.



Please type a plus sign (+) inside this box

EXPRESS MAIL NO. EL755730635US

PTO/SB/81 (10-00)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**ELECTION AND POWER OF  
ATTORNEY OR  
AUTHORIZATION OF AGENT**

Application Number	10/046,935
Filing Date	January 15, 2002
First Named Inventor	Yuqiu Jiang
Group Art Unit	
Examiner Name	
Attorney Docket Number	210121.527C1

I hereby appoint:

☒ Practitioners at Seed IP Law Group PLLC

OR

☐ Practitioner(s) named below:



00500

PATENT TRADEMARK OFFICE

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

OR

☐ Firm or  
Individual Name

Address

Address

City

State

ZIP

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

*Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).*

☒ As assignee of record of the entire interest hereby elect, under 37 C.F.R. § 3.71, to prosecute the application to the exclusion of the inventor

**SIGNATURE of Applicant or Assignee of Record**

Name Kathleen Nora McKereghan

Signature

Date

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

\*Total of \_\_\_\_\_ forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any Comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

VOHNO257277\_1.DOC [01-14-01]



EXPRESS MAIL NO. EL755730635US

PTO/SB/96 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Yugiu Jiang et al.

Application No./Patent No.: 10/046,935 Filed/Issue Date: January 15, 2002

Entitled: COMPOSITIONS AND METHODS FOR THE THERAPY AND DIAGNOSIS OF COLON CANCER

Corixa Corporation

(Name of Assignee)

a

corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or  
2. ☒ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above.  
The assignment was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

6 Mar 2002  
Date

Kathleen Nora McKereghan

Typed or printed name

[Signature]  
Signature

Senior Vice President, General Counsel and Secretary

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

Docket No. 210121.527C1

JOHNO\257282\_1.DOC [09-14-01]

**ASSIGNMENT OF UTILITY PATENT APPLICATION**

WHEREAS, we,

Name	Post Office Address	
Yuqiu Jiang	5001 South 232 <sup>nd</sup> Street, Kent, Washington 98032	;
Susan L. Harlocker	7522 13 <sup>th</sup> Avenue Northwest, Seattle, Washington 98117	;
Heather Secrist	3844 35 <sup>th</sup> Avenue West, Seattle, Washington 98199	;
Aijun Wang	3106 213 <sup>th</sup> Place Southeast, Issaquah, Washington 98029	; and
John A. Stolk	7436 Northeast 144 <sup>th</sup> Place, Bothell, Washington 98011	,

(hereinafter referred to as ASSIGNORS), having post office addresses as listed above next to our names, are the joint inventors of an invention entitled

COMPOSITIONS AND METHODS FOR THE  
THERAPY AND DIAGNOSIS OF COLON CANCER

which is described and claimed in the specification and claims of continuation-in-part (C-I-P) Patent Application No. 10/046,935, as filed in the United States Patent and Trademark Office on January 15, 2002 ("this application") for which a patent is sought. This application derives benefit from the following application(s):

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number	Attorney Docket Number
09/878,178	06/08/2001		210121.527
60/270,216	02/20/2001		210121.527P2
60/210,899	06/09/2000		210121.527P1

WHEREAS, Corixa Corporation (hereinafter referred to as ASSIGNEE), a corporation of the State of Delaware having a place of business at 1124 Columbia Street,

Suite 200, Seattle, Washington 98104, is desirous of acquiring the entire right, title and interest in and to said invention, this application, and in and to any Letters Patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, this application and any and all Letters Patent which may be granted therefor in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from this application and said parent application(s) to which said foreign applications are entitled by virtue of international convention, treaty or otherwise; said invention, this application and all Letters Patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made.

ASSIGNORS hereby authorize and request the Director of the Patent and Trademark Office to issue said United States Patent to ASSIGNEE, of the entire right, title and interest in and to the same, for its sole use and benefit, and for the use and behalf of its legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by us had this assignment and sale not been made.

ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign Patent on said invention,

for litigation regarding said Patent, or for the purpose of protecting title to said invention or Patent therefor.

2/25/02  
Date  
WASHINGTON  
State of )  
KING ) ss.  
County of )

[Signature]  
Signature of Yuqiu Jiang

I certify that I know or have satisfactory evidence that Yuqiu Jiang is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated February 25, 2002  
Signature of Notary Public [Signature]  
Printed Name DEIRDRE I. BRYAN  
My appointment expires 9/1/02

3/1/02  
Date  
WASHINGTON  
State of )  
KING ) ss.  
County of )

[Signature]  
Signature of Susan L. Harlocker

I certify that I know or have satisfactory evidence that Susan L. Harlocker is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated March 1, 2002  
Signature of Notary Public [Signature]  
Printed Name DEIRDRE I. BRYAN  
My appointment expires 9/1/02

3-4-02  
Date

Heather Secrist  
Signature of Heather Secrist

State of WASHINGTON)

County of KING) ss.

I certify that I know or have satisfactory evidence that Heather Secrist is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated March 4, 2002

Signature of Notary Public Deirdre I Bryan

Printed Name DEIRDRE I. BRYAN

My appointment expires 9/1/02

2/25/02  
Date

Aijun Wang  
Signature of Aijun Wang

State of WASHINGTON)

County of KING) ss.

I certify that I know or have satisfactory evidence that Aijun Wang is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated February 25, 2002

Signature of Notary Public Deirdre I Bryan

Printed Name DEIRDRE I. BRYAN

My appointment expires 9/1/02



Date 2/26/02 Signature of John A. Stolk John A. Stolk  
State of WASHINGTON)  
County of KING) ss.

I certify that I know or have satisfactory evidence that John A. Stolk is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated February 26, 2002  
Signature of Notary Public Deirdre L. Bryan  
Printed Name DEIRDRE L. BRYAN  
My appointment expires 9/1/02

JOHNO257302